

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/672,377
Filing Date	September 26, 2003
First Named Inventor	Shigeaki Fukui
Group Art Unit	Not Yet Assigned
Examiner Name	Not yet assigned
Attorney Docket Number	848075/0055

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Information Disclosure Statement; Form PTO/SB/08A; Copies of cited references; and Self-addressed stamped postcard.

Remarks

If for any reason Applicant has not paid a sufficient fee to prevent abandonment of this application, the Commissioner is hereby authorized to charge any fee due, or credit any overpayment, to Deposit Account No. 50-0675.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Anna Vishev, Esq. Schulte Roth & Zabel, LLP
Signature	<i>Anna Vishev</i>
Date	February 24, 2004

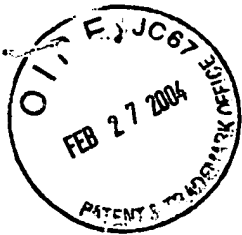
**CERTIFICATE OF MAILING**I hereby certify that this correspondence and the enclosures listed above are being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **February 24, 2004**

Typed or printed name David Toma

Signature

Date February 24, 2004

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Shigeaki Fukui  
Application No. : 10/672,377  
Filed : September 26, 2003  
Title : BATTERY  
Group Art Unit : Not Yet Assigned  
Examiner : Not Yet Assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Transmitted herewith is an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56.

The Statement is being submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

Copies of two referenced documents, which are listed on the accompanying Form PTO/SB/08A, are enclosed herewith. A duplicate copy of Form PTO/SB/08A is transmitted herewith.

In accordance with 37 C.F.R. § 1.98, submission of this statement requires no fee. However, if for any reason a fee is due, the Commissioner is hereby authorized to charge

payment of any fees required in connection with this Information Disclosure Statement to  
Deposit Account No. 50-0675, Order No. 848075.55.

It is respectfully requested that these references be fully considered by the Patent  
and Trademark Office during the examination of this application and printed on any patent which  
may issue on this application. Applicants request that a copy of Form PTO/SB/08A, as  
considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

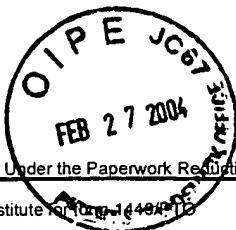
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Anna Vishev, Esq.  
Reg. No. 45,018

Dated: February 24, 2004  
New York, New York

Encl.  
AV/DT/ja

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/672,377		
		Filing Date	September 26, 2003		
		First Named Inventor	Shigeaki Fukui		
		Art Unit	Not Yet Assigned		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	848075/0055

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		European Search Report, November 21, 2003	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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